



<b>Policy, Finance and Development Committee</b>	<b>Tuesday, 18 September 2018</b>	<b>Matter for Information</b>
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**Report Title:** **Internal Audit Progress Report (Q1 2018/19)**

**Report Author(s):** **Stephen Hinds (Director of Finance & Transformation)**

<b>Purpose of Report:</b>	The purpose of the report is to update the Committee on progress made in delivering the 2018/19 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.
<b>Report Summary:</b>	The Internal Auditors are satisfied that delivery to date of the 2018/19 Audit Plan is in accordance with the delivery profile agreed with management. For this quarter, five reviews have been completed and final reports have been agreed with management.
<b>Recommendation(s):</b>	<b>That the content of the report and appendix be noted.</b>
<b>Responsible Strategic Director, Head of Service and Officer Contact(s):</b>	Stephen Hinds (Director of Finance & Transformation) (0116) 257 2681 <a href="mailto:stephen.hinds@oadby-wigston.gov.uk">stephen.hinds@oadby-wigston.gov.uk</a>  Mark Watkins (Head of Internal Audit, CW Audit Services) (0121) 612 3871 <a href="mailto:mark.watkins@cwaudit.org.uk">mark.watkins@cwaudit.org.uk</a>
<b>Corporate Priorities:</b>	Effective Service Provision (CP2)
<b>Vision and Values:</b>	"A Strong Borough Together" (Vision)
<b>Report Implications:-</b>	
Legal:	There are no implications directly arising from this report.
Financial:	There are no implications directly arising from the report.
Corporate Risk Management:	Reputation Damage (CR4) Effective Utilisation of Assets/Buildings (CR5) Regulatory Governance (CR6) Organisational/Transformational Change (CR8) Other Corporate Risk(s).
Equalities and Equalities Assessment (EA):	There are no implications arising from the report. EA not applicable.
Human Rights:	There are no implications arising from the report.
Health and Safety:	There are no implications arising from the report.
<b>Statutory Officers' Comments:-</b>	
Head of Paid Service:	The report is satisfactory.

Chief Finance Officer:	As the author, the report is satisfactory.
Deputy Monitoring Officer:	The report is satisfactory.
<b>Consultees:</b>	None.
<b>Background Papers:</b>	None.
<b>Appendices:</b>	<b>1.</b> Internal Audit Progress Report (September 2018)

## **1. Introduction**

- 1.1 This report summarises the work of the Internal Audit for the period covering quarter one for 2018/19. A copy of the Internal Audit Progress Report is attached at **Appendix 1**.
- 1.2 The purpose of the report is to update the Committee on progress made in delivering the 2018/19 Audit Plan and to provide an update in relation to management's implementation of internal audit recommendations.

## **2. Information**

- 2.1 The Internal Audit Plan for 2018/19 has been agreed with Section 5 of the attached appendix giving details of planned audits over the coming months.
- 2.2 The purpose of the report is to show progress against the Audit Plan and to summarise key findings and conclusions arising from the work performed during the period.
- 2.3 The report shows that two audits gave limited assurance, namely:
  - 2.3.1 With respect to the Capital Programme, a comprehensive response has been received to the recommendations raised in this report and we are pleased to confirm that action has either already been taken, or is in the process of being implemented, that should enable significant improvements to the manner in which the capital programme is delivered in the future.
  - 2.3.2 With respect to Licensing, responses received from management indicate that all of the above issues have been addressed.